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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/642,462
		Filing Date	August 15, 2003
		First Named Inventor	Piomelli, Daniele
		Art Unit	1623
		Examiner Name	Not yet assigned.
Total Number of Pages in This Submission	10	Attorney Docket Number	02307E-125510US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney by Assignee and Eclusion of Inventors Under 37 CFR 3.71 <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b) with copy of Assignment documents Return Postcard
Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Frank J. Mycroft Reg. No. 46,946
Signature	
Date	April 8, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
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Typed or printed name	Kimberly Rosa		
Signature		Date	April 8, 2004



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PATENT
Docket No.: 02307E-125510US
Client Ref. No.: UC Case No. 2002-408-2

On APRIL 8, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: *Kimberly Rosa*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Daniele Piomelli, et al.

Application No.: 10/642,462

Filed: August 15, 2003

For: COMBINATION THERAPY FOR
CONTROLLING APPETITES

Examiner: *Not yet assigned.*

Art Unit: 1623

POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S)
UNDER 37 C.F.R. 3.71

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints attorneys and agents associated with Representative Customer No. 20350 to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71.

Daniele Piomelli, et al.
Application No.: 10/642,462
Page 2

PATENT

An assignment of the entire interest in the above-identified subject application was recorded on March 10, 2004 at Reel/Frame 014414/0654.

Please direct all telephone calls to Frank J. Mycroft at (925) 472-5000 and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300

Dated: March 29, 2004.

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: _____

Linda S. Stevenson

Typed Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, CA 94507-5200



PTO/SB/96 (08-03)

Attorney Docket No. 02307E-125510US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Daniele Pionelli, et al.Application No./Patent No.: 10/642,462Filed/Issue Date: August 15, 2003Entitled: COMBINATION THEREAPY FOR CONTROLLING APPETITESThe Regents of the University of California, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014414, Frame 0654, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

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2. From: _____ To: _____

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 29, 2004

Date

(510) 587-6000

Telephone number

Linda S. Stevenson

Typed or printed name

Linda S. Stevenson

Signature

Manager, Patent Prosecution

Title

COPY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700071175A

MARCH 15, 2004

PTAS
TOWNSEND AND TOWNSEND AND CREW LLP
FRANK J. MYCROFT
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/10/2004

REEL/FRAME: 014414/0654
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PIOMELLI, DANIELE

DOC DATE: 01/05/2004

ASSIGNOR:

RODRIGUEZ DE FONSECA, FERNANDO

DOC DATE: 12/30/2003

ASSIGNOR:

FU, JIN

DOC DATE: 01/04/2004

ASSIGNOR:

GAETANI, SILVANA

DOC DATE: 01/05/2004

ASSIGNEE:

CALIFORNIA, THE REGENTS OF THE
UNIVERSITY OF
1111 FRANKLIN STREET
12TH FLOOR
OAKLAND, CALIFORNIA 94607

014414/0654 PAGE 2

SERIAL NUMBER: 10642462
PATENT NUMBER:

FILING DATE: 08/15/2003
ISSUE DATE:

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03/10/2004
700071175

APR 12 2004

Attorney Docket No. 02307E-125510US

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OMB No. 0651-0027 (exp. 5/31/2002)

Recordation Form Cover Sheet
PATENTS ONLYU.S. Department of Commerce
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Daniele Pionelli

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: December 30, 2003, January 5, 2004 and
January 7, 2004

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/642,462

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank J. Mycroft
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank J. Mycroft

Name of Person Signing
Atty. Reg. No. 48,946
SignatureMarch 10, 2004
Date

Total number of pages including cover sheet, attachments and documents: 4

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Patents Only
Page 2

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Fernando Rodriguez de Fonseca
Jin Fu
Silvana Gaetani

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENTU.C. Case No. 2002-408-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|----------------------------|---|
| 1. <u>Daniele Piomelli</u> | 2. <u>Fernando Rodríguez de Fonseca</u> |
| 3. <u>Jin Fu</u> | 4. <u>Silvana Gatani</u> |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

COMBINATION THERAPY FOR CONTROLLING APPETITES

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) x _____ U.S. application serial no. 10/642,462, filed on August 15, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1. _____ day of _____, 2003

1. _____

2. 30 day of December, 20032.  Daniele Piomelli
 Fernando Rodriguez de Fonseca

3. _____ day of _____, 2003

3. _____

Jin Fu

4. _____ day of _____, 2003

1. _____

Silvana Gaetani

ASSIGNMENTU.C. Case No. 2002-408-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Daniele Piomelli2. Fernando Rodriguez de Fonseca3. Jin Fu4. Silvana Gatani

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

COMBINATION THERAPY FOR CONTROLLING APPETITES

and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) x _____ U.S. application serial no. 10/642,462, filed on August 15, 2003

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1. 5th day of January, 2003

2. _____ day of _____, 2003

3. 6th day of January, 20034. 5th day of January, 2003

Signature of Inventor(s)

1. [Signature]
Daniele Piomelli2. _____
Fernando Rodriguez de Fonseca3. [Signature]
Jin Fu1. [Signature]
Silvana Gaetani